

State of Illinois
Department of Children and Family Services

Annual Report for Illinois Licensed Adoption Agencies

Date: October 1, 2020

Name of Agency: St. Mary's Services DBA Hopeful Beginnings of St. Mary's Services

Corporate Address*: 510 N. Plum Grove Road

Palatine, IL 60067

Illinois DCFS

License/Provider ID number: 040234-16

Telephone: 847-870-8181

License Effective date: February 17, 2019 to February 17, 2023

The reporting period and answers to all questions for this report relate to the agency's most recent fiscal year. Specify the dates of your agency's fiscal year and reporting period for this report: 7/1/2019-6/30/2020

***If the agency operates satellite or branch offices, please attach a separate sheet listing complete addresses of all other offices.**

This report is to be completed by agencies providing adoption services and shall be filed with the Department of Children and Family Services and with the Illinois Attorney General's Office. In addition, each licensed agency that maintains a website shall provide this report on its website. The report shall be filed annually, no later than the 45th day following an adoption agency's license anniversary date. Failure to provide the annual report or disclose certain information required in the report may result in the suspension of an agency's license for a period of 90 days. Subsequent violations may result in a revocation of the license. [Rule 401.530]

This report applies only to the provision of adoption services and includes agencies providing foster care conversion services.

Question number 1 (A – M) pertains only to domestic and international agency-assisted adoption services, and home study services-only programs. Question number 1 (A-M) does not pertain to foster care conversion adoptions. Agencies that provide adoption services only through foster care conversions must answer questions 2 – 12, but need not answer question number 1.

Please respond to the following questions with a yes or no answer on the left and provide additional detail as requested:

No 1. **Non-identifying** information for the past year concerning adoption is attached:

Domestic Agency-Assisted Adoptions

- A. The number of adoptive families who have submitted an agency application but who are not yet licensed: N/A
- B. The number of adoptive families who are licensed and awaiting domestic placement as of the agency's fiscal year end: N/A
- C. The number of biological parents who the agency provided services to during the reporting period for domestic adoption: 8
- D. The number of children placed in adoptive homes during the year:
Adoptive parents/families who are Illinois Residents: 2
Adoptive parents/families who are non-Illinois Residents: 0

- E. The number of adoptions initiated during the year:
Adoptive parents/families who are Illinois Residents: 2
Adoptive parents/families who are non-Illinois Residents: 0
- F. The number of adoptions finalized during the year:
Adoptive parents/families who are Illinois Residents: 4
Adoptive parents/families who are non-Illinois Residents: 0
- G. The number of adoptive placement disruptions: 0
- H. The number of domestic adoption dissolutions this year: 0

International Adoptions (either by direct placements/referrals, or through home-study-services-only)

Check the boxes that apply to the intercountry adoption services the agency provides:

- ☐ Child referral/matching placement services;
- ☐ Adoption home study/post placement services (utilized by families who are working with another agency for their referral/match);
- ☒ None.

The number of adoptive families who have submitted an agency application but who are not yet approved or licensed: _____

The number of adoptive families who are licensed or approved and awaiting international placement: _____

The number of international adoptive placements made during the year: _____

List the countries with which you have accredited international adoption programs:

The number of international adoptions finalized this year in the U.S., specifying the countries of origin: _____

The number of finalizations in other countries, specifying the countries of origin:

The number of international adoptive placement disruptions: _____

No 2. Has the agency:

- lost the right to provide adoption services in any state or country,
- had its license suspended for cause, or
- was the agency the subject of other sanctions by any court, governmental agency, or governmental regulatory body relating to the provision of adoption services?

If the answer to any portion of this question is yes, attach a full and complete statement of explanation.


- No 3. During the past year, were any actions related to licensure initiated against the agency by a licensing or accrediting body?
If the answer is yes, attach a complete statement of explanation.
- No 4. During the past year, has the agency been a named party in any civil court actions in relation to the provision of foster care or adoption services?
If the answer is yes, attach a complete statement of explanation.
- No 5. Is the agency currently the subject of a pending investigation by federal or state authorities?
If the answer is yes, attach a complete statement of explanation.
- No 6. Were there any criminal charges, child abuse charges, malpractice complaints, or lawsuits related to the provision of adoption services against the agency or any of its employees, officers, or directors during the past year?
If the answer is yes, attach a complete statement of explanation and the basis or disposition of the actions.
- No 7. Was the agency found liable for any civil or administrative violation or found guilty of or pled guilty to any criminal or administrative violation that relates to the provision of adoption services under federal, state or foreign law?
If the answer is yes, attach a complete statement of explanation.
- No 8. Was any employee, officer or director of the agency found guilty of any crime or determined to have violated a civil law or administrative rule relating to the provision of adoption services under federal, state or foreign law?
If the answer is yes, attach a complete statement of explanation.
- No 9. Was any civil or administrative proceeding relating to adoption services instituted by the agency during the year (excluding uncontested adoption proceedings and proceedings filed pursuant to Section 12a of the Adoption Act)?
If the answer is yes, attach a complete statement of explanation.
- Yes 10. The agency's website address is: www.hopefulbeginning.org
- Yes 11. An audited financial statement for the prior fiscal year, including a general description of fees, wages, salaries and other compensation described in Rule 401.565(a), certified by an independent public accountant, is attached.
- Yes 12. This Annual Report with attachments and audited financial statement, certified by an independent public accountant, has been posted on the website listed in item 9.
- Yes 13. Effective August 15, 2005, Annual Reports are available upon request.

Information contained in this report is subject to the applicable confidentiality requirements of the Child Care Act and the Adoption Act.

I certify that the above statements are true and accurate, based on information available to me at this time.

Joanne Bratta, RN, BSN, MS

Printed or typed name of Executive Director


Signature of Executive Director

10/1/2020

Date

Mailing Instructions on the back

This report is to be mailed to the child welfare agency's A&I licensing Unit and the Illinois Attorney General's Office:

Illinois Attorney General
Charitable Trust Bureau
100 W. Randolph Street, 11th Floor
Chicago, IL 60601
312-814-2595 or 312-814-3000

DCFS Agency and Intuitional Licensing Units:

Cook County

A&I Licensing Unit
A&I Licensing Supervisor
1911 S. Indiana Ave. – 9th Fl.
Chicago, IL 60616

Northern Region

A&I Licensing Unit
A&I Licensing Supervisor
1619 W. Jefferson Street
Joliet, IL 60435

Central / Southern Region

A&I Licensing Unit
A&I Licensing Supervisor
1124 N. Walnut
Springfield, IL 62702

Financial Statements

ST. MARY'S SERVICES dba Hopeful Beginnings of St. Mary's Services

STATEMENT OF FINANCIAL POSITION

JUNE 30, 2020

(WITH COMPARATIVE TOTALS AS OF JUNE 30, 2019)

	<u>ASSETS</u>	
	<u>2020</u>	<u>2019</u>
CURRENT ASSETS		
Cash and Cash Equivalents	\$ 78,258	\$ 57,314
Investment Securities	2,746,398	2,856,857
Accounts Receivable	16,088	18,511
Prepaid Expenses	11,929	7,556
Total Current Assets	<u>2,852,673</u>	<u>2,940,238</u>
NONCURRENT ASSETS		
Property and Equipment, net	377,014	378,354
Beneficial Interest in Perpetual Trusts Held by Others	2,957,060	2,950,804
Investment Securities, Restricted for Endowments	581,840	581,840
Total Noncurrent Assets	<u>3,915,914</u>	<u>3,910,998</u>
Total Assets	<u>\$ 6,768,587</u>	<u>\$ 6,851,236</u>
<u>LIABILITIES AND NET ASSETS</u>		
CURRENT LIABILITIES		
Accounts Payable and Accrued Expenses	\$ 25,410	\$ 43,700
PPP Forgivable Loan, Current Portion	-	-
Current Liabilities	<u>25,410</u>	<u>43,700</u>
NONCURRENT LIABILITIES		
PPP Forgivable Loan, Long-term Portion	<u>88,100</u>	<u>-</u>
Total Liabilities	<u>113,510</u>	<u>43,700</u>
NET ASSETS		
Net Assets Without Donor Restrictions		
Undesignated	2,968,537	3,127,252
Designated	147,640	147,640
Total Unrestricted	<u>3,116,177</u>	<u>3,274,892</u>
Net Assets With Donor Restrictions	<u>3,538,900</u>	<u>3,532,644</u>
Total Net Assets	<u>6,655,077</u>	<u>6,807,536</u>
Total Liabilities and Net Assets	<u>\$ 6,768,587</u>	<u>\$ 6,851,236</u>

The accompanying notes are an integral part of these financial statements.

Financial Statements

ST. MARY'S SERVICES dba Hopeful Beginnings of St. Mary's Services

STATEMENT OF ACTIVITIES

YEAR ENDED JUNE 30, 2020

(WITH COMPARATIVE TOTALS FOR THE YEAR ENDED JUNE 30, 2019)

	Without Donor Restrictions	With Donor Restrictions	Total	
			2020	2019
REVENUES, GAINS AND OTHER SUPPORT				
Contributions (\$21,975 In-Kind for 2020)	\$ 168,469	\$ -	\$ 168,469	\$ 183,815
Grants	38,303	-	38,303	28,400
Adoptive Program Fees	139,395	-	139,395	188,470
Counseling Services	15,230	-	15,230	-
Educational Initiative Fees	47,801	-	47,801	47,801
Change in Value of Perpetual Trusts	-	6,256	6,256	795,855
Investment Income from Perpetual Trusts	73,795	-	73,795	62,344
Investment Return, net	139,540	-	139,540	180,070
Loss on Disposal of Capital Assets	(37)	-	(37)	-
Other	-	-	-	77
Total Revenues, Gains and Other Support	622,496	6,256	628,752	1,486,832
EXPENSES				
Maternity Program	277,844	-	277,844	224,655
Adoptive Program	87,675	-	87,675	102,893
Educational Initiative	78,066	-	78,066	112,833
Management and General	188,023	-	188,023	151,095
Fundraising	149,603	-	149,603	116,258
Total Expenses	781,211	-	781,211	707,734
CHANGE IN NET ASSETS	(158,715)	6,256	(152,459)	779,098
NET ASSETS, BEGINNING OF THE YEAR	3,274,892	3,532,644	6,807,536	6,028,438
NET ASSETS, END OF THE YEAR	\$ 3,116,177	\$ 3,538,900	\$ 6,655,077	\$ 6,807,536

The accompanying notes are an integral part of these financial statements.

Financial Statements

ST. MARY'S SERVICES dba Hopeful Beginnings of St. Mary's Services

STATEMENT OF FUNCTIONAL EXPENSES

YEAR ENDED JUNE 30, 2020

(WITH COMPARATIVE TOTALS FOR THE YEAR ENDED JUNE 30, 2019)

	Program Services				Supporting Services			Total	
	Maternity Program	Adoption Initiative	Education Program	Total	Management and General	Fundraising	Total	2020	2019
Salaries	\$ 109,856	\$ 52,813	\$ 35,289	\$ 197,958	\$ 111,725	\$ 36,160	\$ 147,885	\$ 345,843	\$ 324,963
Social Worker (In-Kind)	5,044	-	15,131	20,175	-	-	-	20,175	35,074
Employee benefits	17,303	8,127	5,569	30,999	22,597	6,503	29,100	60,099	60,545
Payroll taxes	8,665	4,122	2,770	15,557	8,604	2,797	11,401	26,958	25,895
Total personnel	140,868	65,062	58,759	264,689	142,926	45,460	188,386	453,075	446,477
Accounting and Auditing	223	100	94	417	11,397	89	11,486	11,903	11,615
Advertising and marketing	81,123	5,131	3,265	89,519	4,696	3,208	7,904	97,423	39,973
Service charges	81	48	34	163	48	2,810	2,858	3,021	3,947
Computer	2,320	889	827	4,036	2,075	776	2,851	6,887	6,390
Consultation--non-medical	90	-	-	90	-	-	-	90	-
Depreciation and amortization	6,981	3,131	2,912	13,024	4,189	2,733	6,922	19,946	18,014
Dues, Memberships, Subscriptions	152	88	63	303	439	59	498	801	-
Education	1,151	1,007	819	2,977	1,001	388	1,389	4,366	5,388
Fund Raising Expenses-Events	-	-	-	-	-	37,275	37,275	37,275	39,076
Insurance	5,148	2,301	2,127	9,576	3,165	1,936	5,101	14,677	14,251
Occupancy (In-Kind \$1,800)	6,451	2,894	2,691	12,036	7,621	2,525	10,146	22,182	67,042
Legal	2,697	851	792	4,340	1,318	743	2,061	6,401	2,613
Purchased Medical Services	1,774	-	0	1,774	-	-	-	1,774	1,019
Nonmedical Maternity Services	15,356	-	-	15,356	-	-	-	15,356	2,957
Office Equipment Rental	3,336	1,497	1,392	6,225	2,002	1,306	3,308	9,533	11,451
Outreach	876	470	344	1,690	496	353	849	2,539	1,843
Payroll Processing Services	558	250	233	1,041	334	218	552	1,593	1,522
Postage and delivery	478	195	144	817	207	355	562	1,379	1,724
Supplies	568	255	237	1,060	1,112	269	1,381	2,441	3,099
Licenses and Permits	116	52	48	216	134	45	179	395	175
Telephone	4,852	2,091	1,938	8,881	3,446	1,133	4,579	13,460	12,152
Temporary help	420	188	175	783	252	47,715	47,967	48,750	7,581
Travel / Mileage Payments	2,045	1,035	1,168	4,248	233	158	391	4,639	6,259
Miscellaneous expenses	180	140	4	324	932	49	981	1,305	3,166
Total expenses	\$ 277,844	\$ 87,675	\$ 78,066	\$ 443,585	\$ 188,023	\$ 149,603	\$ 337,626	\$ 781,211	\$ 707,734

The accompanying notes are an integral part of these financial statements.

Financial Statements

ST. MARY'S SERVICES dba Hopeful Beginnings of St. Mary's Services

STATEMENTS OF CASH FLOWS

YEARS ENDED JUNE 30, 2020

(WITH COMPARATIVE TOTALS FOR THE YEAR ENDED JUNE 30, 2019)

	2020	2019
CASH FLOWS FROM OPERATING ACTIVITIES		
Change in Net Assets	\$ (152,459)	\$ 779,098
Adjustments to Reconcile Change in Net Assets to		
Cash Used in Operating Activities:		
Depreciation and Amortization	19,946	18,014
Change in Value of Perpetual Trusts Held by Others	(6,256)	(795,855)
Investment income, net	(152,966)	(180,070)
Other Decrease in Investments	-	13,864
Loss on Disposal of Capital Assets	37	-
(Increase) Decrease in Accounts Receivable	2,423	13,143
(Increase) Decrease in Prepaid and Other Assets	(4,373)	9,613
Increase (Decrease) in Accounts Payable and Accruals	(18,290)	7,493
Net Cash Used in Operating Activities	(311,938)	(134,700)
CASH FLOWS FROM INVESTING ACTIVITIES		
Purchase of Property and Equipment	(18,642)	(7,088)
Proceeds from Sale and Maturities of Investments	559,254	3,207,846
Purchase of Investments and Transfers to Cash	(295,830)	(3,332,569)
Net Cash Provided by (Used in) Investing Activities	244,782	(131,811)
CASH FLOWS FROM FINANCING ACTIVITIES		
PPP Forgivable Loan Proceeds	88,100	-
Net Cash Provided by Financing Activities	88,100	-
Net Increase (Decrease) in Cash and Cash Equivalents	20,944	(266,511)
Cash and Cash Equivalents, Beginning of Year	57,314	323,825
Cash and Cash Equivalents, End of Year	\$ 78,258	\$ 57,314
Income Taxes Paid during the Year	\$ -	\$ -
Interest Paid during the Year	\$ -	\$ -

The accompanying notes are an integral part of these financial statements.