

**Annual Report for Illinois Licensed Adoption Agencies**

Date: 12/3/2021

Name of Agency: St. Mary's Services, DBA Hopeful Beginnings of St. Mary's Services

Corporate Address\*: 510 N. Plum Grove Road

Palatine, IL 60067

Illinois DCFS

License/Provider ID number: 040234-16 Telephone: 847-870-8181

License Effective date: February 17, 2019 to February 17, 2023

The reporting period and answers to all questions for this report relate to the agency's most recent fiscal year. Specify the dates of your agency's fiscal year and reporting period for this report: 7/1/2020-6/30/2021

**\*If the agency operates satellite or branch offices, please attach a separate sheet listing complete addresses of all other offices.**

This report is to be completed by agencies providing adoption services and shall be filed with the Department of Children and Family Services and with the Illinois Attorney General's Office. In addition, each licensed agency that maintains a website shall provide this report on its website. The report shall be filed annually, no later than the 45<sup>th</sup> day following an adoption agency's license anniversary date. Failure to provide the annual report or disclose certain information required in the report may result in the suspension of an agency's license for a period of 90 days. Subsequent violations may result in a revocation of the license. [Rule 401.530]

**This report applies only to the provision of adoption services and includes agencies providing foster care conversion services.**

Question number 1 (A – M) pertains only to domestic and international agency-assisted adoption services, and home study services-only programs. Question number 1 (A-M) does not pertain to foster care conversion adoptions. Agencies that provide adoption services only through foster care conversions must answer questions 2 – 12, but need not answer question number 1.

Please respond to the following questions with a yes or no answer on the left and provide additional detail as requested:

No 1. **Non-identifying** information for the past year concerning adoption is attached:

**Domestic Agency-Assisted Adoptions**

A. The number of adoptive families who have submitted an agency application but who are not yet licensed: N/A

B. The number of adoptive families who are licensed and awaiting domestic placement as of the agency's fiscal year end: N/A

C. The number of biological parents who the agency provided services to during the reporting period for domestic adoption: 6

D. The number of children placed in adoptive homes during the year:  
Adoptive parents/families who are Illinois Residents: 4  
Adoptive parents/families who are non-Illinois Residents: 0

- E. The number of adoptions initiated during the year:  
 Adoptive parents/families who are Illinois Residents: 4  
 Adoptive parents/families who are non-Illinois Residents: 0
- F. The number of adoptions finalized during the year:  
 Adoptive parents/families who are Illinois Residents: 3  
 Adoptive parents/families who are non-Illinois Residents: 0
- G. The number of adoptive placement disruptions: 0
- H. The number of domestic adoption dissolutions this year: 0

**International Adoptions (either by direct placements/referrals, or through home-study-services-only)**

Check the boxes that apply to the intercountry adoption services the agency provides:

- Child referral/matching placement services;
- Adoption home study/post placement services (utilized by families who are working with another agency for their referral/match);
- None.

The number of adoptive families who have submitted an agency application but who are not yet approved or licensed: \_\_\_\_\_

The number of adoptive families who are licensed or approved and awaiting international placement: \_\_\_\_\_

The number of international adoptive placements made during the year: \_\_\_\_\_

List the countries with which you have accredited international adoption programs:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

The number of international adoptions finalized this year in the U.S., specifying the countries of origin: \_\_\_\_\_

\_\_\_\_\_

The number of finalizations in other countries, specifying the countries of origin:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

The number of international adoptive placement disruptions: \_\_\_\_\_

No 2. Has the agency:

- lost the right to provide adoption services in any state or country,
- had its license suspended for cause, or
- was the agency the subject of other sanctions by any court, governmental agency, or governmental regulatory body relating to the provision of adoption services?

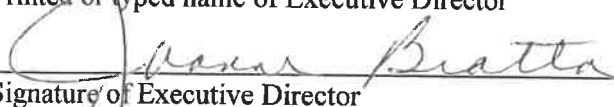
*If the answer to any portion of this question is yes, attach a full and complete statement of explanation.*

- No 3. During the past year, were any actions related to licensure initiated against the agency by a licensing or accrediting body?  
*If the answer is yes, attach a complete statement of explanation.*
- No 4. During the past year, has the agency been a named party in any civil court actions in relation to the provision of foster care or adoption services?  
*If the answer is yes, attach a complete statement of explanation.*
- No 5. Is the agency currently the subject of a pending investigation by federal or state authorities?  
*If the answer is yes, attach a complete statement of explanation.*
- No 6. Were there any criminal charges, child abuse charges, malpractice complaints, or lawsuits related to the provision of adoption services against the agency or any of its employees, officers, or directors during the past year?  
*If the answer is yes, attach a complete statement of explanation and the basis or disposition of the actions.*
- No 7. Was the agency found liable for any civil or administrative violation or found guilty of or pled guilty to any criminal or administrative violation that relates to the provision of adoption services under federal, state or foreign law?  
*If the answer is yes, attach a complete statement of explanation.*
- No 8. Was any employee, officer or director of the agency found guilty of any crime or determined to have violated a civil law or administrative rule relating to the provision of adoption services under federal, state or foreign law?  
*If the answer is yes, attach a complete statement of explanation.*
- No 9. Was any civil or administrative proceeding relating to adoption services instituted by the agency during the year (excluding uncontested adoption proceedings and proceedings filed pursuant to Section 12a of the Adoption Act)?  
*If the answer is yes, attach a complete statement of explanation.*
- Yes 10. The agency's website address is: <https://www.hopefulbeginning.org/>
- Yes 11. An audited financial statement for the prior fiscal year, including a general description of fees, wages, salaries and other compensation described in Rule 401.565(a), certified by an independent public accountant, is attached.
- Yes 12. This Annual Report with attachments and audited financial statement, certified by an independent public accountant, has been posted on the website listed in item 9.
- Yes 13. Effective August 15, 2005, Annual Reports are available upon request.

Information contained in this report is subject to the applicable confidentiality requirements of the Child Care Act and the Adoption Act.

I certify that the above statements are true and accurate, based on information available to me at this time.

Joanne Bratta  
Printed or typed name of Executive Director

  
Signature of Executive Director

12/3/2021  
Date

Mailing instructions on the back

This report is to be mailed to the child welfare agency's A&I licensing Unit and the Illinois Attorney General's Office:

Illinois Attorney General  
Charitable Trust Bureau  
100 W. Randolph Street, 11<sup>th</sup> Floor  
Chicago, IL 60601  
312-814-2595 or 312-814-3000

DCFS Agency and Intuitional Licensing Units:

Cook County

A&I Licensing Unit  
A&I Licensing Supervisor  
1911 S. Indiana Ave. – 9<sup>th</sup> Fl.  
Chicago, IL 60616

Northern Region

A&I Licensing Unit  
A&I Licensing Supervisor  
1619 W. Jefferson Street  
Joliet, IL 60435

Central / Southern Region

A&I Licensing Unit  
A&I Licensing Supervisor  
1124 N. Walnut  
Springfield, IL 62702

## Financial Statements

### ST. MARY'S SERVICES dba Hopeful Beginnings of St. Mary's Services

#### STATEMENT OF FINANCIAL POSITION

JUNE 30, 2021

(WITH COMPARATIVE TOTALS AS OF JUNE 30, 2020)

	<u>ASSETS</u>	
	<u>2021</u>	<u>2020</u>
<b>CURRENT ASSETS</b>		
Cash and Cash Equivalents	\$ 101,173	\$ 78,258
Investment Securities	3,335,392	2,746,398
Accounts Receivable	33,999	16,088
Property Tax Refund Receivable	44,832	-
Prepaid Expenses	12,684	11,929
Total Current Assets	<u>3,528,080</u>	<u>2,852,673</u>
<b>NONCURRENT ASSETS</b>		
Property and Equipment, net	362,448	377,014
Beneficial Interest in Perpetual Trusts Held by Others	3,978,542	2,957,060
Investment Securities, Restricted for Endowments	581,840	581,840
Total Noncurrent Assets	<u>4,922,830</u>	<u>3,915,914</u>
Total Assets	<u>\$ 8,450,910</u>	<u>\$ 6,768,587</u>
	<u>LIABILITIES AND NET ASSETS</u>	
<b>CURRENT LIABILITIES</b>		
Accounts Payable and Accrued Expenses	\$ 38,271	\$ 25,410
PPP Forgivable Loan, Current Portion	-	-
Total Current Liabilities	<u>38,271</u>	<u>25,410</u>
<b>NONCURRENT LIABILITIES</b>		
PPP Forgivable Loan, Long-term Portion	-	88,100
Total Liabilities	<u>38,271</u>	<u>113,510</u>
<b>NET ASSETS</b>		
Net Assets Without Donor Restrictions		
Undesignated	3,704,617	2,968,537
Designated	147,640	147,640
Total Unrestricted	<u>3,852,257</u>	<u>3,116,177</u>
Net Assets With Donor Restrictions	<u>4,560,382</u>	<u>3,538,900</u>
Total Net Assets	<u>8,412,639</u>	<u>6,655,077</u>
Total Liabilities and Net Assets	<u>\$ 8,450,910</u>	<u>\$ 6,768,587</u>

The accompanying notes are an integral part of these financial statements.

## Financial Statements

### ST. MARY'S SERVICES dba Hopeful Beginnings of St. Mary's Services

#### STATEMENT OF ACTIVITIES

YEAR ENDED JUNE 30, 2021

(WITH COMPARATIVE TOTALS FOR THE YEAR ENDED JUNE 30, 2020)

	Without Donor Restrictions	With Donor Restrictions	Total	
			2021	2020
<b>REVENUES, GAINS AND OTHER SUPPORT</b>				
Contributions (\$18,056 In-Kind for 2021)	\$ 191,948	\$ -	\$ 191,948	\$ 168,469
Grants	54,625	-	54,625	38,303
Adoptive Program Fees	161,597	-	161,597	139,395
Counseling Services	376	-	376	15,230
Educational Initiative Fees	48,995	-	48,995	47,801
Change in Value of Perpetual Trusts	-	1,021,482	1,021,482	6,256
Investment Income from Perpetual Trusts	55,697	-	55,697	73,795
Investment Return, net	838,994	-	838,994	139,540
Loss on Disposal of Capital Assets	-	-	-	(37)
PPP Loan Forgiveness	176,065	-	176,065	-
<b>Total Revenues, Gains and Other Support</b>	<b>1,528,297</b>	<b>1,021,482</b>	<b>2,549,779</b>	<b>628,752</b>
<b>EXPENSES</b>				
Maternity Program	234,715	-	234,715	277,844
Adoptive Program	93,957	-	93,957	87,675
Educational Initiative	73,352	-	73,352	78,066
Management and General	220,986	-	220,986	188,023
Fundraising	169,207	-	169,207	149,603
<b>Total Expenses</b>	<b>792,217</b>	<b>-</b>	<b>792,217</b>	<b>781,211</b>
<b>CHANGE IN NET ASSETS</b>	<b>736,080</b>	<b>1,021,482</b>	<b>1,757,562</b>	<b>(152,459)</b>
<b>NET ASSETS, BEGINNING OF THE YEAR</b>	<b>3,116,177</b>	<b>3,538,900</b>	<b>6,655,077</b>	<b>6,807,536</b>
<b>NET ASSETS, END OF THE YEAR</b>	<b>\$ 3,852,257</b>	<b>\$ 4,560,382</b>	<b>\$ 8,412,639</b>	<b>\$ 6,655,077</b>

The accompanying notes are an integral part of these financial statements.

# Financial Statements

## ST. MARY'S SERVICES dba Hopeful Beginnings of St. Mary's Services

### STATEMENT OF FUNCTIONAL EXPENSES

YEAR ENDED JUNE 30, 2021

(WITH COMPARATIVE TOTALS FOR THE YEAR ENDED JUNE 30, 2020)

	Program Services				Supporting Services			Total	
	Maternity Program	Adoption Initiative	Education Program	Total	Management and General	Fundraising	Total	2021	2020
Salaries	\$ 126,031	\$ 54,372	\$ 43,336	\$ 223,739	\$ 120,428	\$ 37,236	\$ 157,664	\$ 381,403	\$ 345,843
Social Worker (In-Kind \$9,806)	9,806	-	-	9,806	-	-	-	9,806	20,175
Employee benefits	21,057	8,308	6,586	35,951	26,881	6,387	33,268	69,219	60,099
Payroll taxes	9,892	4,273	3,420	17,585	9,346	2,961	12,307	29,892	26,958
<b>Total personnel</b>	<b>166,786</b>	<b>66,953</b>	<b>53,342</b>	<b>287,081</b>	<b>156,655</b>	<b>46,584</b>	<b>203,239</b>	<b>490,320</b>	<b>453,075</b>
Accounting and Auditing	694	273	237	1,204	11,138	161	11,299	12,503	11,903
Advertising and marketing	35,805	15,505	12,031	63,341	25,062	8,376	33,438	96,779	97,423
Service charges	25	10	9	44	18	2,819	2,837	2,881	3,021
Computer	5,622	1,989	1,724	9,335	3,756	1,170	4,926	14,261	6,887
Consultation--non-medical	-	-	-	-	-	-	-	-	90
Depreciation and amortization	7,995	3,152	2,731	13,878	5,622	1,853	7,475	21,353	19,946
Dues, Memberships, Subscriptions	1,376	207	162	1,745	611	110	721	2,466	801
Education	704	788	349	1,841	705	81	786	2,627	4,366
Fund Raising Expenses-Events	-	-	-	-	-	42,448	42,448	42,448	37,275
Insurance	5,451	2,148	1,863	9,462	3,833	1,263	5,096	14,558	14,677
Occupancy (In-Kind \$750)	(7,572)	(2,985)	(2,587)	(13,144)	(4,560)	(1,755)	(6,315)	(19,459)	22,182
Legal (In-Kind \$7,500)	1,264	-	-	1,264	10,000	-	10,000	11,264	6,401
Purchased Medical Services	410	-	-	410	-	-	-	410	1,774
Nonmedical Maternity Services	3,688	-	-	3,688	-	-	-	3,688	15,356
Office Equipment Rental	3,587	1,414	1,225	6,226	2,522	832	3,354	9,580	9,533
Outreach	313	93	81	487	198	55	253	740	2,539
Payroll Processing Services	691	272	236	1,199	486	160	646	1,845	1,593
Postage and delivery	248	126	74	448	179	920	1,099	1,547	1,379
Supplies	1,037	384	333	1,754	990	129	1,119	2,873	2,441
Licenses and Permits	70	28	24	122	60	16	76	198	395
Telephone	5,158	1,815	1,459	8,432	3,079	611	3,690	12,122	13,460
Temporary help	15	6	5	26	11	63,362	63,373	63,399	48,750
Travel / Mileage Payments	715	805	46	1,566	55	7	62	1,628	4,639
Miscellaneous expenses	633	974	8	1,615	566	5	571	2,186	1,305
<b>Total expenses</b>	<b>\$ 234,715</b>	<b>\$ 93,957</b>	<b>\$ 73,352</b>	<b>\$ 402,024</b>	<b>\$ 220,986</b>	<b>\$ 169,207</b>	<b>\$ 390,193</b>	<b>\$ 792,217</b>	<b>\$ 781,211</b>

The accompanying notes are an integral part of these financial statements.

## Financial Statements

### ST. MARY'S SERVICES dba Hopeful Beginnings of St. Mary's Services

#### STATEMENTS OF CASH FLOWS

YEAR ENDED JUNE 30, 2021

(WITH COMPARATIVE TOTALS FOR THE YEAR ENDED JUNE 30, 2020)

	2021	2020
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Change in Net Assets	\$ 1,757,562	\$ (152,459)
Adjustments to Reconcile Change in Net Assets to Cash Used in Operating Activities:		
Depreciation and Amortization	21,353	19,946
Change in Value of Perpetual Trusts Held by Others	(1,021,482)	(6,256)
Investment income, net	(853,302)	(152,966)
Loss on Disposal of Capital Assets	-	37
PPP Loan Forgiveness	(176,065)	-
(Increase) Decrease in Accounts Receivable	(62,743)	2,423
(Increase) Decrease in Prepaid and Other Assets	(755)	(4,373)
Increase (Decrease) in Accounts Payable and Accruals	12,861	(18,290)
Net Cash Used in Operating Activities	<u>(322,571)</u>	<u>(311,938)</u>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>		
Purchase of Property and Equipment	(6,788)	(18,642)
Proceeds from Sale and Maturities of Investments	-	559,254
Purchase of Investments and Transfers to Cash	264,309	(295,830)
Net Cash Provided by (Used in) Investing Activities	<u>257,521</u>	<u>244,782</u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
PPP Forgivable Loan Proceeds	87,965	88,100
Net Cash Provided by Financing Activities	<u>87,965</u>	<u>88,100</u>
Net Increase (Decrease) in Cash and Cash Equivalents	22,915	20,944
Cash and Cash Equivalents, Beginning of Year	<u>78,258</u>	<u>57,314</u>
Cash and Cash Equivalents, End of Year	<u>\$ 101,173</u>	<u>\$ 78,258</u>
Income Taxes Paid during the Year	<u>\$ -</u>	<u>\$ -</u>
Interest Paid during the Year	<u>\$ -</u>	<u>\$ -</u>

The accompanying notes are an integral part of these financial statements.